



บริษัท นอร์ทอีสต์ รับเบอร์ จำกัด (มหาชน)
NORTHEAST RUBBER PUBLIC COMPANY LIMITED
เลขประจำตัวผู้เสียภาษี (TAX ID) : 0107561000242

Tel. : 044-666928-9
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Policy Code No.: M1

Revision No.: 01

Effective Date: 21 June 2018

Internal Control and Internal Audit Policy

The Company has established the policy for the efficient and effective internal control system. The Board of Directors and the executives have direct duties and responsibilities in having and maintaining the internal control system, and regularly reviewing the efficiency of the internal control system to prevent the shareholders' investment fund and the Company's properties. The internal control includes the financial control, operations, and operating compliance according to the related laws and rules, and risk management. The internal control system established by the Company contributes to the Company's reasonable confidence that it can achieve the setting objectives and goals regarding the correct and reliable data system and financial reporting in the following matters.

1. Compliance with the set of regulations, policies, work processes of the Company, and related laws
2. The Company's properties which are actually available and well controlled, stored, and maintained.
3. The Company shall be efficiently operated under saving in resource consumption.
4. The Company's objectives and strategies have been effectively achieved and carried out.

Internal Audit

The Company has established to have systematic internal audit using the service of the External Audit Office to govern working in different areas, and directly report to the Audit Committee. The said Office has duty to give counsel and audit, assess internal control of the risk management system to ensure that the internal control system, risk management system, and compliance process of the Company's business are adequately and efficiently established corresponding to the setting objectives.

1. The Company's directors, executives, employees, and staff members must comply with the following.
 - 1.1 They must keep the Company's secret and/or internal information.
 - 1.2 They must not disclose or exploit the Company's secret and/or internal information for their or other person's benefit either directly or indirectly, and whether the return is obtained or not.

สำนักงานใหญ่ : 398 หมู่ 4 ต.โคกม้า อ.ประโคนชัย จ.บุรีรัมย์ 31140

สำนักงานขาย : 589/156 ชั้น 29 อาคารเซ็นทรัลซิตีทาวเวอร์ ถนนเทพรัตน แขวงบางนาเหนือ เขตบางนา กรุงเทพฯ 10260

Factory : 398 Moo.4 Kokma sub District Prakhonchai District Buriram 31140 Thailand

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1.3 They must not trade, transfer, or be transferred the Company's securities, using the Company's secret and/or internal information, and/or enter into any other juristic acts, using the Company's secret and/or internal information which may cause damage to the Company either directly or indirectly.

However, the directors, executives, employees, and staff members of the Company in the work units which are informed of the Company's inside information should avoid or suspend trading the securities of the Company in the period of 1 month prior to public disclosure of the financial statements. The said requirements include the spouse and non-full age children of the Company's directors, executives, and staff members. If anyone breaches the said rules and regulations, it shall be deemed that he/she commits the serious offence.

2. The Company's directors and executives, as well as the Company's auditor, must report the change in securities holding in the Company by them, and their spouse, and non-full age children, to the Office of the Securities and Exchange Commission pursuant to Section 59 of the Securities and Exchange Act B.E. 2535 (1992), and acknowledge the penalty pursuant to the Securities and Exchange Act B.E. 2535 (1992). However, the directors and executives must deliver the copy of the said report to the Company in the same day as reported to the Office of the SEC that schedules the notification within 3 (three) working days.
3. The Company has announced the said policy for the thorough acknowledgement of the directors, executives, employees, and staff members.

Therefore, the Policy has been announced for the thorough acknowledgement on 21 June 2018.

-Mr. Chuwit Jungtanasonboon-

(Mr. Chuwit Jungtanasonboon)

Chief Executive Officer

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